

30 April 2023

RTS 28**Publication of information on the identity of execution venues and on the quality of execution
Year ending 31 December 2022**

This statement herein is provided by SMBC Derivative Products Limited (“DP Ltd”), in connection with the requirements of Commission Delegated Regulation (EU) 2017/576 (“RTS 28”) for the annual publication by investment firms of information on the identity of execution venues and on the quality of execution.

During the calendar year ending 31 December 2022, DP Ltd only executed transactions with clients on principal basis in certain interest rates derivatives instruments, which excludes futures and options admitted to trading on a trading venue, and has not executed any securities financing transactions on behalf of any of its clients.

DP Ltd during the year ending 31 December 2022, only executed client orders on an OTC basis and not on a trading venue. DP Ltd is not a Systematic Internaliser, nor acts as a liquidity provider as ascribed in MiFID II and the applicable delegated regulations.

Should you have any queries please do not hesitate to contact your usual front office representative who will be able to direct the query to the appropriate Compliance person.