

**30 April 2019****RTS 28****Publication of information on the identity of execution venues and on the quality of execution  
Year ending 31 December 2018**

This statement herein is provided by SMBC Derivative Products Limited ("DP Ltd"), in connection with the requirements of Commission Delegated Regulation (EU) 2017/576 ("RTS 28") for the annual publication by investment firms of information on the identity of execution venues and on the quality of execution.

During the calendar year ending 31 December 2018, DP Ltd only executed transactions with clients on principal basis in certain interest rates derivatives instruments, which excludes futures and options admitted to trading on a trading venue, and has not executed any securities financing transactions on behalf of any of its clients.

DP Ltd during the year ending 31 December 2018 did not act as liquidity provider, is not a Systematic Internaliser and is not an execution venue within the meanings ascribed in MiFID II and the applicable delegated regulations. Therefore, DP Ltd does not have any information to be published in accordance with RTS28.

Should you have any queries please do not hesitate to contact the Compliance department.