

Protected Disclosure (Whistleblowing) reporting - SMBC BI, Paris Branch

In case a concern needs to be raised, the following local reporting options are available:

1. Addressing reports to

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2. "Safecall"

Safecall is an independent organisation working in partnership with SMBC Group in EMEA. It provides an independent way for employees to raise concerns regarding SMBC Group and provides the option for staff to remain anonymous.

On-line: <http://www.safecall.co.uk/file-a-report>

Phone: 00 800 72332255

3. Writing to local regulators: the Financial Market Authority (AMF) or the Banking Authority (ACPR), Anti-Bribery Authority (AFA), according to their scope of supervision.

Both of these authorities have implemented their own procedure to collect specific concerns. They are detailed following the below links.

- Financial Market Authority (AMF) for issues related to financial markets: <https://www.amf-france.org/fr/formulaires-et-declarations/lanceur-dalerte>
- Banking Authority (ACPR) for issues related to banking sector: <https://acpr.banque-france.fr/controler/signaler-lacpr-un-manquement-ou-une-infraction>
- Anti-Bribery Authority (AFA) for probity related concerns : [Faire un signalement à l'Agence française anticorruption | Agence française anticorruption \(agence-francaise-anticorruption.gouv.fr\)](https://www.agence-anticorruption.gouv.fr/)

In all cases, concerns can be sent directly to the "Défenseur des droits" which is a constitutional independent authority: <https://www.defenseurdesdroits.fr/fr/lanceurs-dalerte>. The "Défenseur des droits" will handle the concern by subsequently transfer the concern to the adequate body.